

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,275	08/13/2001	Richard N. Zare	M-11422 US

CONFIRMATION NO. 8199

## FORMALITIES LETTER



\*OC000000006586906\*

SKJERVEN MORRILL MacPHERSON LLP  
Suite 2800  
3 Embarcadero Center  
San Francisco, CA 94111

Date Mailed: 09/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/21/2001 00000077 192386 09929275

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

740.00 CH  
120.00 CH  
108.00 CH  
54.00 CH

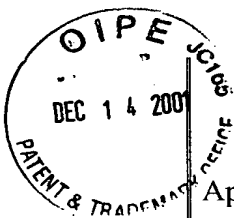
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$188.
  - \$108 for 6 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1028.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



12-21-01 N. S. G. for #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Zare, Richard N.; Dulay, Maria T.; Quirino, Joselito P.; Bennett, Bryson D.

Assignee: THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY

Title: Photopolymerized Sol-Gel Column and Associated Methods

Serial No.: 09/929,275 Filing Date: August 13, 2001

Examiner: Not Yet Assigned Group Art Unit: 1641

Docket No.: M-11422 US

San Francisco, California  
December 14, 2001

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on September 21, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- |    |   |           |
|----|---|-----------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$ 130.00 |
| 2. | Statutory basic filing fee.   | \$ 740.00 |
| 3. | Additional Claim fee for 6 total claims over 20   | \$ 108.00 |

- |    |   |            |
|----|---|------------|
| 4. | Additional Claim fee for 1 independent claim over 3 | \$ 80.00   |
| 5. | 1-Month Extension of Time                           | \$ 110.00  |
| 6. | TOTAL FEES:   | \$1,168.00 |


The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of August 13, 2001. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

**EV052133285US**

Respectfully submitted,



Cindy I. Abravanel  
Attorney for Applicant(s)  
Reg. No. 48,403